

# UNITED STATES BANKRUPTCY COURT

Southern District of New York

Lehman Brothers Holdings Inc.

Case No. 08-13555

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Lehman Brothers Holdings Inc.  
Name of Transferee

Lehman Brothers Securities N.V.  
Name of Transferor

Name and Address where notices to transferee should be sent:

Court Claim # (if known): 58651

Amount of Claim: \$1,289,919.00

1271 Avenue of the Americas, 40th Floor  
New York, NY 10020  
Attn: Ronald J. Geraghty  
Phone: 646-285-9706

Date Claim Filed: 10/30/2009

Phone: N/A

Last Four Digits of Acct #: N/A

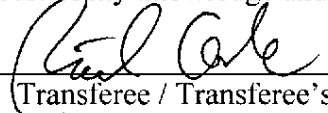
Last Four Digits of Acct #: N/A

Name and Address where transferee payments should be sent (if different from above): N/A

Phone: N/A

Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:   
Transferee / Transferee's Agent

Date: 4/6/15

Exhibit C

TO: United States Bankruptcy Court for the Southern District of New York (the "**Bankruptcy Court**")  
Attn: Clerk

AND TO: Lehman Brothers Commercial Corporation ("**LBCC**")  
Case No. 08-13901, jointly administered under Case No. 08-13555 (the "**Case**")

Claim Number: 58651 (arising from Settlement Agreement approval by an order of the Bankruptcy Court (docket no. 21254) (the "**Order**"))

Total Claim Amount: \$1,289,919.00

Claim Amount Transferred: \$1,289,919.00

**Lehman Brothers Securities N. V.** and its successors and assigns ("**Seller**"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

**Lehman Brothers Holdings Inc.**  
1271 Avenue of the Americas, 39<sup>th</sup> Floor  
New York, New York 10022  
Attn: Ronald Geraghty  
ronald.geraghty@lehmanholdings.com

and its successors and assigns all Seller's right, title and interest in and to the allowed Class 5C claim in the amount of \$1,289,919.00 (the "**Claim**") against LBCC, allowed pursuant to the Settlement Agreement approved by the Bankruptcy Court in the Order, to which claim number 58651 has been assigned.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of LBHI and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated April 2 2015.

Michiel R.B. Gorsira in his capacity as bankruptcy trustee (*curator*) of **LEHMAN BROTHERS SECURITIES N.V.**

**LEHMAN BROTHERS HOLDINGS, INC.**

By: \_\_\_\_\_

Name:  
Title:

By: \_\_\_\_\_

Name:  
Title:

Exhibit C

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Commercial Corporation ("LBCC")  
Case No. 08-13901, jointly administered under Case No. 08-13555 (the "Case")

Claim Number: 58651 (arising from Settlement Agreement approval by an order of the Bankruptcy Court (docket no. 21254) (the "Order"))

Total Claim Amount: \$1,289,919.00

Claim Amount Transferred: \$1,289,919.00

Lehman Brothers Securities N. V. and its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

Lehman Brothers Holdings Inc.  
1271 Avenue of the Americas, 39<sup>th</sup> Floor  
New York, New York 10022  
Attn: Ronald Geraghty  
ronald.geraghty@lehmanholdings.com

and its successors and assigns all Seller's right, title and interest in and to the allowed Class 5C claim in the amount of \$1,289,919.00 (the "Claim") against LBCC, allowed pursuant to the Settlement Agreement approved by the Bankruptcy Court in the Order, to which claim number 58651 has been assigned.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of LBHI and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.


You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated March 2, 2015.  
April

Michiel R.B. Gorsira in his capacity as bankruptcy trustee (*curator*) of LEHMAN BROTHERS SECURITIES N.V.

LEHMAN BROTHERS HOLDINGS, INC.

By: \_\_\_\_\_  
Name:  
Title:

By:   
Name: RICHARD TAFEL  
Title: VP